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PATENT
51270-245649

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KAZUNOBU KONDO, et al.

Serial No.: 09/634,215

Filed: August 9, 2000

For: **TIME-AXIS COMPRESSION/EXPANSION
METHOD AND APPARATUS FOR MULTITRACK
SIGNALS**

Group No.: 2837

Examiner: Jeffrey Donels

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
 - (1) a final action under §1.113 or
 - (2) a notice of allowance under §1.311, whichever occurs first.

CERTIFICATION OR FEE

A. Included with this transmittal is:

04/30/2004 GWORDDF1 00000010 09634215

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180.00 OP

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 16-1805. A copy of this sheet is enclosed.)

OR

- ii. ☒ the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. §1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.00).

CERTIFICATION

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ☒ If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: April 26, 2004

By: 

Roger R. Wise

Registration No. 31,204

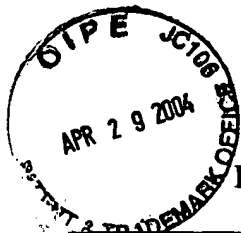
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Hon. Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on April 26, 2004.

By: 

Roger R. Wise, Reg. No. 31,204



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OR

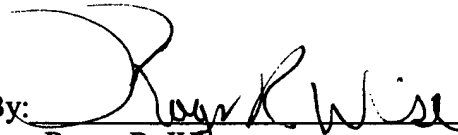
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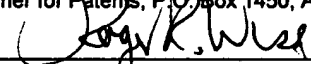
PILLSBURY WINTHROP LLP

Dated: April 26, 2004

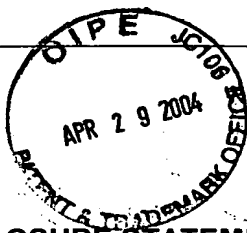
By: 
Roger R. Wise
Registration No. 31,204
Attorney for Applicant(s)

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By: 
Roger R. Wise, Reg. No. 31,204

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Atty.
Dkt. No.

M#

Client Ref.

245649

51270

Applicant: KAZUNOBU KONDO, et al.

Appln. No.: 09/634,215

Filing Date: August 9, 2000

Examiner: Jeffrey Donels

Group Art Unit: 2837

Date: April 21, 2004

Page

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of

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U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,749,064	05/98	Pawate, et al.	704	213	
	BR 5,842,172	11/98	Wilson	704	503	
	CR 5,845,247	12/98	Miyasaka	704	267	
	DR 6,049,766	04/00	Laroche	704	216	
	ER 6,232,540	05/01	Kondo	84	612	
	FR 6,484,137	11/02	Taniguchi, et al.	704	211	
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
	OR 1093795	4-12-89	Japan	Tsugi Toru, et al.		Yes			
	PR 5273964	10-22-93	Japan	Komatsu Shigeaki		Yes			
	QR 6175663	6-24-94	Japan	Adachi Atsushi		Yes			
	RR 9034448	2-7-97	Japan	Shishido Ichiro		Yes			
	SR 9062257	3-7-97	Japan	Adachi Atsushi		Yes			
	TR 10282963	10-23-98	Japan	Katayama Hiroshi		Yes			
	UR								
	VR								
	WR								
	XR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR				
	ZR				
	AAR				
	BBR				
	CCR				
	DDR				

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.